

**PROVISIONAL NOTIFICATION SCHEME FOR BANNED AND SEVERELY
RESTRICTED CHEMICALS**

**Adopted by the Governing Council of the
United Nations Environment Programme (UNEP)
in decision 12/14 of 28 May 1984**

1. Introduction

In considering global guidelines for the exchange of Information on potentially harmful chemicals in international trade, and without prejudice to the outcome of discussions on the question of the shared responsibility of exporting and importing countries for the protection of human health and the environment from risks associated with such chemicals, exporting countries should provide information to assist importing countries in making timely and informed decisions. The purpose of the present provisional notification scheme is to provide experience which would assist the Ad Hoc Working Group of Experts for the Exchange of Information on Potentially Harmful Chemicals (in particular Pesticides) in international Trade in its future work.*/

2. Definition

For purposes of the present provisional notification scheme, a banned or severely restricted chemical includes any chemical that is the subject of a control action taken by a competent authority in the country of export:

- a) To ban or severely restrict the use or handling of the chemical in order to protect human health or the environment domestically; or
- b) To refuse a required authorization for a proposed first-time use of the chemical based upon a decision in the country of export that such use would endanger human health or the environment.

3. Notification of control action

- a) When a country has taken control action to ban or severely restrict a chemical, it should notify, directly or indirectly, the designated national authorities in other countries of the action it has taken.
 - b) The purpose of the notification regarding control action is to give competent authorities in other countries the opportunity to assess the risks associated with the chemical, and to make timely and informed decisions thereon taking into account local environmental, public health, economic and administrative conditions,
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*/ The report of the first session of the Ad Hoc Working Group, held In Noordwijkerhout, the Netherlands, from 26 to 30 March 1984, is available in document **UNEP/WG-96/5**

- c) The minimum information to be provided for this purpose should
 - (i) The chemical identification/specification of the chemical;
 - (ii) A summary of the control action taken and the reasons for it. If the control action bans or restricts certain uses but allow other uses such information should be included.
 - (iii) The fact that additional information is available, and the indication of the contact point in the Country of export to which a letter for further information should be addressed

4. Information regarding export

- a) If an export of a banned or severely restricted chemical occurs, the country of export should ensure that necessary steps are taken to provide the designated national authority of the country of import with relevant information.
- b) The purpose of information regarding exports is to remind the country of import of the original notification regarding control action and to alert it to the fact that an export is expected or about to occur.
- c) The minimum information to be provided for this purpose should be:
 - i) A copy of, or reference to, the information provided at the time of the notification of control action;
 - ii) Indication that an export of the chemical concerned is expected or about to occur.

5. Channels of notification

- a) Notification should normally be addressed to the national authority designated for this purpose in the country of import, with a copy or a summary to the International Register of Potentially Toxic Chemicals (IRPTC). IRPTC should forward to the United Nations Secretariat the information contained in such notifications,
- b) Alternatively, notifications may be addressed to IRPTC for transmission to designated national authorities.
- c) Countries should as soon as possible make available to IRPTC the name

and address of their designated national authority. IRPTC in turn should prepare a consolidated list of designated national authorities and circulate it to all such authorities.

6. **Timing of notification and information**

a) Notification of control action should be provided as soon as practicable after the control action is taken. For chemicals banned or severely restricted before the implementation of the present provisional notification scheme, an inventory of prior control action should be provided to IRPTC, unless such information has already been provided,

b) Provision of information regarding exports should take place at the time of the first export following the control action, and should recur in the case of any significant development of new information or condition surrounding the control action. It is the intention that, in so far as possible, the information should be provided prior to export, but it is recognized that this may not always be possible, and that the procedures of the country of export should not be such as to delay or control the export,

7. **Feedback**

Designated national authorities of importing countries should provide to IRPTC. for consideration at future sessions of the Ad Hoc Working Group authorized by the Governing Council, a summary of action taken as a result of notifications on banned or severely restricted chemicals, and information on any difficulties which they have experienced in using the present provisional notification scheme,

AND SEVERELY RESTRICTED CHEMICALS

Notification of Control Action

1. **Country**
.....
2. **Ministry/Department and Responsible Authority**
.....
3. **Name (s) of Chemical (Chemical name (IUPAC); common; trade names)**
.....
4. **Specification, if relevant for control action (e.g. for pesticides)**
.....
.....
5. **Code numbers**
 - Chem. Abstr. Service Reg. No. (CAS)
.....
 - Customs Co-operation Council No. (CCC)
.....
 - Other number(s) (specify)
.....
6. **Control action**

USE(S) CONTROLLED AND SUMMARY OF CONTROL ACTION	EFFECTIVE DATE	REFERENCE TO NATIONAL DOCUMENT
1/		

1/ If the control action bans or restricts certain uses, but allows other uses, such information should be included

7. Reasons supporting the control action (relevant to protection of human health and the environment)

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8. Contact point where additional information may be obtained

9. Designated national authority

10. Name and title of official issuing this notification

11. Date.....

**PROVISIONAL NOTIFICATION SCHEME FOR BANNED
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<p>1. <u>Country of export</u></p> <p>2. <u>Ministry/Department & Responsible Authority/or Firm</u> </p> <p>3. <u>Name (s) of chemical</u> (chemical name (IUPAC); Common name; trade names) </p> <p>4. <u>Specification, if relevant for control action (e.g pesticides)</u> </p> <p>5. <u>Code numbers</u></p> <ul style="list-style-type: none"> - Chem. Abstr. Service Reg. No. (CAS) - Customs Co-operation Council No. (CCC) - Other number(s) (specify) 	<p>6. <u>Country(ies) of destination</u>.....</p> <p>7. <u>Designated National Authority(ies) to which this Information is addressed</u> </p> <p>8. <u>Notification(s) of control action sent</u></p> <ul style="list-style-type: none"> - Date(s) - Copy attached - Reference Address of Designated National Authority
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INFORMATION REGARDING EXPORT

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10. Name, title and address of person providing this information

11. Date: